In re Patent No.: 6,930,170

) Examiner: Jiang, Dong

Issued: August 16, 2005

Art Unit: 1646

tle: PRO1184 POLYPEPTIDES

Attorney's Docket No. 39780-2730P1C19

ventors: Desnoyers, et al.

Customer No. 35489

EXPRESS MAIL LABEL NO. EL 976 551 966 US

DATE MAILED: OCTOBER 17, 2006

### PETITION PURSUANT TO 37 C.F.R. § 1.324, CORRECTION OF INVENTORSHIP IN AN ISSUED PATENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

This Petition seeks to add Ellen Filvaroff as a joint inventor in the aboveidentified patent. Ms. Filvaroff was inadvertently and without deceptive intent not included as a joint inventor in the above-identified patent. Enclosed are the following documents in support of this Petition:

- a statement signed by Ms. Filvaroff indicating that the error in (a) inventorship in the above-referenced patent occurred without deceptive intent on her part;
- a statement by the currently named inventors that they agree to the (b) requested change;
- a statement by Genentech, Inc., assignee of the entire title, right and (c) interest in the above-captioned patent, agreeing to the requested change of inventorship; and
- the fee set forth in 37 C.F.R. § 1.120(b) (\$130.00). (d)

11/20/2006 RMEBRAHT 00000008 081641 6930170

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130.00 DA

Petition Under 37 C.F.R. §1.324 Patent No. 6,930,170 Attorney's Docket No. 39780-2730P1C19



Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. <u>08-1641 (Attorney's Docket No. 39780-2730P1C19)</u>. Please direct any calls in connection with this application to the undersigned at the number provided below.

Respectfully submitted,

Date: Sept 6, 200**6** 

Daphne Reddy Reg. No. 53,507

HELLER EHRMAN LLP

275 Middlefield Road Menlo Park, California 94025-3506

Telephone: (650) 324-7000 Facsimile: (650) 324-0638

In re Patent No.: 6,930,170	) Examiner: Jiang, Dong
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Title: PRO1184 POLYPEPTIDES	) Attorney's Docket No. 39780-2730P1C19
Inventors: Desnoyers, et al.	) Customer No. 35489
EVENESCE MAIL LABEL NO. EL 074 551 0	)

EXPRESS MAIL LABEL NO. EL 976 551 966 US

Date Mailed:

### STATEMENT BY ASSIGNEE UNDER 37 C.F.R. § 1.324(b)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir,

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The assignment is recorded at Reel 012176 and Frame 0450. This assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

The undersigned hereby states that Assignee agrees to the correction of the inventorship of the above-identified patent to include Ellen Filvaroff as a joint inventor.

Respectfully submitted, Date: Title: Address: 1 DNA Way

SV 2197133 v1 4/4/06 3:02 PM (39780.2730) Mark T. Kresnak, Ph.D. Associate General Counsel-Patent Law Genentech, Inc. **Authorized Corporate Signatory** 

**Statement Under 37 C.F.R. §1.324(b)(3)** Patent No. 6,930,170 Attorney's Docket No. 39780-2730P1C19

South San Francisco, CA 94080

In re Patent No.: 6,930,170	Examiner: Jiang, Dong
OIPE Issued: August 16, 2005	) Art Unit: 1646
NOV 16 2006 Side: PRO1184 POLYPEPTIDES	Attorney's Docket No. 39780-2730P1C19
Inventors: Desnoyers, et al.	) ) Customer No. 35489 )

EXPRESS MAIL LABEL NO. <u>EL 976 551 966 US</u> Date Mailed:

## STATEMENT OF CURRENTLY NAMED INVENTORS UNDER 37 C.F.R. § 1.324(b)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

We, Luc Desnoyers, Audrey Goddard, Paul J. Godowski, Austin Gurney and William I. Wood, the currently named joint inventors in the above-identified patent, hereby state that we agree to the addition of Ellen Filvaroff as an inventor, as requested in a petition to correct inventorship pursuant to 35 U.S.C. § 256.

Respectfully submitted,

Luc Desnoyers, Ph.D.	<u>04/10/2006</u> Date
Audrey Goddard, Ph.D.	Date
Paul J. Godowski, Ph.D.	Date
Austin Gurney, Ph.D.	Date
William I. Wood, Ph.D.	Date

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In re Patent No.: 6,930,170

Issued: August 16, 2005

Title: PRO1184 POLYPEPTIDES

Inventors: Desnoyers, et al.

Description: Jiang, Dong

Art Unit: 1646

Attorney's Docket No. 39780-2730P1C19

Customer No. 35489

Respectfully submitted,

EXPRESS MAIL LABEL NO. EL 976 551 966 US Date Mailed:

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Luc Desnoyers, Ph.D.	Date 4/20/06
Audrey Goddard, Ph.D.	Date
Paul J. Godowski, Ph.D.	Date
Austin Gurney, Ph.D.	Date
William I. Wood, Ph.D.	Date

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THE STATE OF	TO TRADEMARKS

In re Patent No.: 6,930,170

Issued: August 16, 2005

Title: PRO1184 POLYPEPTIDES
Inventors: Desnoyers, et al.

Description:

| Examiner: Jiang, Dong
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	·
Audrey Goddard, Ph.D.	Date
Par Hodown	4/11/06
Paul J. Godowski, Ph.D.	Date
Austin Gurney, Ph.D.	Date
	<u>·</u>
William I. Wood, Ph.D.	Date

In re Patent No.: 6,930,170	) Examiner: Jiang, Dong
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Inventors: Desnoyers, et al.	) Customer No. 35489

NOV 16 MAR 3 Par

EXPRESS MAIL LABEL NO. <u>EL 976 551 966 US</u>

Date Mailed:

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Date

Paul J. Godowski, Ph.D.

Date

William I. Wood, Ph.D.

Date



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Title: PRO1184 POLYPEPTIDES ) Attorney's Docket No. 39780-2730P1C19

Inventors: Desnoyers, et al. ) Customer No. 35489

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Luc Desnoyers, Ph.D.

Date

Audrey Goddard, Ph.D.

Date

Paul J. Godowski, Ph.D.

Date

Austin Gurney, Ph.D. Date

William I. Wood, Ph.D.

Date

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no perso to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMIT for FY 2006

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	130.	00
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Complete if Known		
Patent No.	6,930,170	
Issue Date	AUGUST 16, 2005	
First Named Inventor	LUC DESNOYERS	
Examiner Name	JIANG, DONG	
Art Unit	1646	
Attorney Docket No.	39780-2730 P1C19	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. A	DDIT	ONA	L FEE	S	
Deposit Account:		Entity				
Denocit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number 08-1641	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account HELLER EHRMAN, LLP (39780-2730 P1C19)	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1005	1 040*	1005	1 040*		
to the above-identified deposit account.	1005	1,840*	1603	1,040	Examiner action	
FEE CALCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE	1252	450	2252	225	Extension for reply within second month	
Large Entity Small Entity	1253	1,020	2253	510	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,590	2254	795	Extension for reply within fourth month	
1001 300 2001 150 Utility filing fee	1255	2,160	2255	1,080	Extension for reply within fifth month	$\vdash$
1002 200 2002 100 Design filing fee	1401	500	2401	250	50 Notice of Appeal	
1003 200 2003 100 Plant filing fee	1402	500	2402	250 Filing a brief in support of an appeal		
1004 300 2004 150 Reissue filing fee	1403	1,000	0 2403 500 Request for oral hearing			
1005 200 2005 100 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 1452 500 2452 250 Petition to revive - unavoidable						
	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims X = X = X	1503	1,100	2503	550	Plant issue fee	
Claims - 3** =	1460	130	1460	130	Petitions to the Commissioner	130.00
Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	802	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 50 2202 25 Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection	
1201 200 2201 100 Independent claims in excess of 3					(37 CFR 1.129(a))	
1203 360 2203 180 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 200 2204 100 ** Reissue independent claims over original patent	1801	790	2801	395	Request for Continued Examination (RCE)	
1205 50 2205 25 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
	Othe	fee (sp	ecify)			
SUBTOTAL (2) (\$)  **or number previously paid, if greater; For Reissues, see above		, ,		Filing F	ee Paid SUBTOTAL (3) (\$)	30.00

SUBMITTED BY			(Complete	(if applicable))
Name (Print/Type)	GINGER R. DREGER	Registration No. (Attorney/Agent) 33,055	Telephone	(650) 324-7000
Signature	(in) in		Date	NOVEMBER 15, 2006

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.